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PTO/SB/05 (4/98)
Approved for use through 09/30/2000. OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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UTILITY PATENT APPLICATION TRANSMITTAL (Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))	Attorney Docket No.	P114027
	First Inventor or Application Identifier	Harold Spanski
	Title	POWER-ASSIST SYSTEM AND METHOD FOR BICYCLES
	Express Mail Label No.	EL 740999712 US

APPLICATION ELEMENTS See MPEP chapter 600 concerning utility patent application contents.	ADDRESS TO: Assistant Commissioner for Patents Box Patent Application Washington DC 20211	
1. <input checked="" type="checkbox"/> *Fee Transmittal Form (e.g., PTO/SB/117) (Submit an original and a duplicate for fee processing)	5. <input type="checkbox"/> Microfiche Computer Program (Appendix)	
2. <input checked="" type="checkbox"/> Specification [Total Pages 36] (preferred arrangement set forth below) - Descriptive title of the Invention - Cross References to Related Applications - Statement Regarding Fed sponsored R & D - Reference to Microfiche Appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure	6. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) a. <input type="checkbox"/> Computer Readable Copy b. <input type="checkbox"/> Paper Copy (identical to computer copy) c. <input type="checkbox"/> Statement verifying identity of above copies	
3. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Sheets 10]	ACCOMPANYING APPLICATION PARTS 7. <input type="checkbox"/> Assignment Papers (cover sheet & document(s)) 8. <input type="checkbox"/> 37 C.F.R. § 3.73(b) Statement <input type="checkbox"/> Power of Attorney (when there is an assignee) 9. <input type="checkbox"/> English Translation Document (if applicable) 10. <input checked="" type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input checked="" type="checkbox"/> Copies of IDS Citations 11. <input type="checkbox"/> Preliminary Amendment 12. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized) * Small Entity 13. <input checked="" type="checkbox"/> Statement(s) <input type="checkbox"/> Statement filed in prior application, Status still proper and desired (PTO/SB/09-12) 14. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed) 15. <input checked="" type="checkbox"/> Other: Transmittal Letter w/ Express Cert. of Mailing, Non-publication Request Form	
4. Oath or Declaration [Total Pages 46] a. <input checked="" type="checkbox"/> Newly executed (original or copy) b. <input type="checkbox"/> Copy from a prior application (37 C.F.R. § 1.63(d)) (for continuation/divisional with Box 16 completed) I <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).		

16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:
☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No: _____
Prior application in formation: Examiner _____ Group I Art Unit _____
For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

17. CORRESPONDENCE ADDRESS					
<input type="checkbox"/> Customer Number or Bar Code Label or <input checked="" type="checkbox"/> Correspondence address below (Insert Customer No or Attach bar code label here)					
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City	Bellingham	State	WA	Zip Code	98225
Country	U.S.	Telephone	(360) 647-1296	Fax	(360) 671-2489

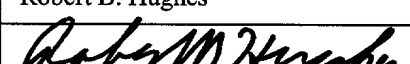
Name (Print/Type)	Robert B. Hughes	Registration No. (Attorney/Agent)	19,304
Signature	<i>Robert Hughes</i>	Date	December 28, 2001

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FEE TRANSMITTAL for FY 2000 <i>Patent fees are subject to annual revision. Small Entity payments <u>must</u> be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB/09-12. See 37 C.F.R. §§ 1.27 and 1.28.</i>		Complete if Known		
		Application Number		
		Filing Date	December 28, 2001	
		First Named Inventor	Harold Spanski	
		Examiner Name		
		Group / Art Unit		
TOTAL AMOUNT OF PAYMENT		\$ 412.00	Attorney Docket No.	P114027

METHOD OF PAYMENT	FEE CALCULATION (continued)																																																																																																																																																																																																																																																								
1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to credit any overpayment to the account stated below. Deposit Account Number: 08-3260 Deposit Account Name: <input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17	3. ADDITIONAL FEES <table border="1"><thead><tr><th>Large Entity</th><th>Small Entity</th><th>Fee</th><th>Fee</th><th>Fee</th><th>Fee</th><th>Fee Description</th><th>Fee Paid</th></tr><tr><th>Code (\$)</th><th>Code (\$)</th><th></th><th></th><th></th><th></th><th></th><th></th></tr></thead><tbody><tr><td>105</td><td>130</td><td>205</td><td>65</td><td></td><td></td><td>Surcharge - late filing fee or oath</td><td></td></tr><tr><td>127</td><td>50</td><td>227</td><td>25</td><td></td><td></td><td>Surcharge - late provisional filing fee or cover sheet.</td><td></td></tr><tr><td>139</td><td>130</td><td>139</td><td>130</td><td></td><td></td><td>Non-English specification</td><td></td></tr><tr><td>147</td><td>2,520</td><td>147</td><td>2,520</td><td></td><td></td><td>For filing a request for reexamination</td><td></td></tr><tr><td>112</td><td>920*</td><td>112</td><td>920*</td><td></td><td></td><td>Requesting publication of SIR prior to Examiner action</td><td></td></tr><tr><td>113</td><td>1,840*</td><td>113</td><td>1,840*</td><td></td><td></td><td>Requesting publication of SIR after Examiner action</td><td></td></tr><tr><td>115</td><td>110</td><td>215</td><td>55</td><td></td><td></td><td>Extension for reply within first month</td><td></td></tr><tr><td>116</td><td>380</td><td>216</td><td>190</td><td></td><td></td><td>Extension for reply within second month</td><td></td></tr><tr><td>117</td><td>870</td><td>217</td><td>435</td><td></td><td></td><td>Extension for reply within third month</td><td></td></tr><tr><td>118</td><td>1,360</td><td>218</td><td>680</td><td></td><td></td><td>Extension for reply within fourth month</td><td></td></tr><tr><td>128</td><td>1,850</td><td>228</td><td>925</td><td></td><td></td><td>Extension for reply within fifth month</td><td></td></tr><tr><td>119</td><td>300</td><td>219</td><td>150</td><td></td><td></td><td>Notice of Appeal</td><td></td></tr><tr><td>120</td><td>300</td><td>220</td><td>150</td><td></td><td></td><td>Filing a brief in support of an appeal</td><td></td></tr><tr><td>121</td><td>260</td><td>221</td><td>130</td><td></td><td></td><td>Request for oral hearing</td><td></td></tr><tr><td>138</td><td>1,510</td><td>138</td><td>1,510</td><td></td><td></td><td>Petition to institute a public use proceeding</td><td></td></tr><tr><td>140</td><td>110</td><td>240</td><td>55</td><td></td><td></td><td>Petition to revive - unavoidable</td><td></td></tr><tr><td>141</td><td>1,210</td><td>241</td><td>605</td><td></td><td></td><td>Petition to revive - unintentional</td><td></td></tr><tr><td>142</td><td>1,210</td><td>242</td><td>605</td><td></td><td></td><td>Utility issue fee (or reissue)</td><td></td></tr><tr><td>143</td><td>430</td><td>243</td><td>215</td><td></td><td></td><td>Design issue fee</td><td></td></tr><tr><td>144</td><td>580</td><td>244</td><td>290</td><td></td><td></td><td>Plant issue fee</td><td></td></tr><tr><td>122</td><td>130</td><td>122</td><td>130</td><td></td><td></td><td>Petitions to the Commissioner</td><td></td></tr><tr><td>123</td><td>50</td><td>123</td><td>50</td><td></td><td></td><td>Petitions related to provisional applications</td><td></td></tr><tr><td>126</td><td>240</td><td>126</td><td>240</td><td></td><td></td><td>Submission of Information Disclosure Stmt</td><td></td></tr><tr><td>581</td><td>40</td><td>581</td><td>40</td><td></td><td></td><td>Recording each patent assignment per property (times number of properties)</td><td></td></tr><tr><td>146</td><td>760</td><td>246</td><td>380</td><td></td><td></td><td>Filing a submission after final rejection (37 CFR § 1.129(a))</td><td></td></tr><tr><td>149</td><td>760</td><td>249</td><td>380</td><td></td><td></td><td>For each additional invention to be examined (37 CFR § 1.129(b))</td><td></td></tr><tr><td colspan="7">Other fee (specify) _____</td><td></td></tr><tr><td colspan="7">Other fee (specify) _____</td><td></td></tr><tr><td colspan="7">Reduced by Basic Filing Fee Paid</td><td>SUBTOTAL (3) (\$) 0.00</td></tr></tbody></table>	Large Entity	Small Entity	Fee	Fee	Fee	Fee	Fee Description	Fee Paid	Code (\$)	Code (\$)							105	130	205	65			Surcharge - late filing fee or oath		127	50	227	25			Surcharge - late provisional filing fee or cover sheet.		139	130	139	130			Non-English specification		147	2,520	147	2,520			For filing a request for reexamination		112	920*	112	920*			Requesting publication of SIR prior to Examiner action		113	1,840*	113	1,840*			Requesting publication of SIR after Examiner action		115	110	215	55			Extension for reply within first month		116	380	216	190			Extension for reply within second month		117	870	217	435			Extension for reply within third month		118	1,360	218	680			Extension for reply within fourth month		128	1,850	228	925			Extension for reply within fifth month		119	300	219	150			Notice of Appeal		120	300	220	150			Filing a brief in support of an appeal		121	260	221	130			Request for oral hearing		138	1,510	138	1,510			Petition to institute a public use proceeding		140	110	240	55			Petition to revive - unavoidable		141	1,210	241	605			Petition to revive - unintentional		142	1,210	242	605			Utility issue fee (or reissue)		143	430	243	215			Design issue fee		144	580	244	290			Plant issue fee		122	130	122	130			Petitions to the Commissioner		123	50	123	50			Petitions related to provisional applications		126	240	126	240			Submission of Information Disclosure Stmt		581	40	581	40			Recording each patent assignment per property (times number of properties)		146	760	246	380			Filing a submission after final rejection (37 CFR § 1.129(a))		149	760	249	380			For each additional invention to be examined (37 CFR § 1.129(b))		Other fee (specify) _____								Other fee (specify) _____								Reduced by Basic Filing Fee Paid							SUBTOTAL (3) (\$) 0.00
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1. BASIC FILING Large Entity Small Entity Fee Fee Fee Fee Fee Description Code (\$) Code (\$) Fee Paid 101 760 201 380 Utility filing fee 370.00 106 310 206 155 Design filing fee 107 480 207 240 Plant filing fee 108 760 208 380 Reissue filing fee 114 150 214 75 Provisional filing fee SUBTOTAL (1) \$ 370.00	2. EXTRA CLAIM FEES Total Claims 20 -20 = 0 X 9.00 0.00 Independent Claims 4 -3 = 1 X 42.00 42.00 Multiple Dependent none \$ 0.00 **or number previously paid, if greater.- For Reissues, see below Large Entity Small Entity Fee Fee Fee Fee Fee Description Code (\$) Code (\$) 103 18 203 9 Claims in excess of 20 102 78 202 39 Independent claims in excess of 3 104 260 204 130 Multiple dependent claim, if not paid 109 78 209 39 ** Reissue independent claims over original patent 110 18 210 9 ** Reissue claims in excess of 20 and over original patent SUBTOTAL (2) \$ 42.00																																																																																																																																																																																																																																																								

SUBMITTED BY		Complete (if applicable)	
Name (Print/Type)	Robert B. Hughes	Registration No. (Attorney/Agent)	19,304
Signature		Telephone	(360) 647-1296
		Date	December 28, 2001

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PATENT, TRADEMARK
& COPYRIGHT LAW

Assistant Commissioner for Patents
U.S. Patent and Trademark Office
Washington, D.C. 20231

December 28, 2001
Docket No. P114027

Sir:

Transmitted herewith for filing is a Patent application:

Applicant(s): Mr. Harold Spanski
Title: POWER-ASSIST SYSTEM AND METHOD FOR BICYCLES

Application is claiming priority benefit under 35 U.S.C. §119(e) of U.S. Serial Number(s) and Filing Date(s):

<u>Serial Number</u>	<u>Filing Date</u>
60/258,935	12/29/00

1. Enclosed are:

- Certificate of Express Mailing requesting treatment under 37 CFR 1.10, Express Mail Filing Date of December 28, 2001, Label No. EL 740999712 US;
- 1-page Utility Patent Application Transmittal;
- 1-page Fee Transmittal;
- 2-page Executed Combined Declaration and Power of Attorney;
- ~~e) Non-Publication Request Form PTO/SB/35;~~
- IDS PTO/SB/08A w/ Cited References;
- Specification, Claims and Abstract (36 pages total: 27 pages of specification, 8 pages of claims, 1 page of abstract);
- 10 sheets of Informal Drawings;
- A check in the amount of \$ 412.00 for Filing Fee and extra claim fee; and
- A stamped return receipt postcard.

EXPRESS MAIL CERTIFICATE OF MAILING

37 C.F.R. §1.10

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service, Express Mail Post Office to Addressee, in an envelope addressed to BOX PATENT APPLICATION, Assistant Commissioner for Patents, Washington, DC 20231, on the date shown below.

Express Mail No: EL 740999712 US

Signature: 

Print Name: Robin Fry
DATE MAILED: December 28, 2001